

To whom it may concern

Munich, January 2021

OSRAM Conflict Minerals Customer Information Letter

Dear Customer,

OSRAM, as one of the global leaders in the lighting industry, has a long tradition of conducting business in a way that is socially and environmentally responsible. A key element was the establishment of the "Code of Conduct for OSRAM Suppliers" which is based on the UN Global Compact, the principles of the International Labor Organization and others.

With the same strong commitment to its social and environmental responsibility as a global citizen, OSRAM is addressing the risk that minerals used in our products, the so called conflict minerals¹, could either directly or indirectly finance or benefit armed groups in the Democratic Republic of Congo (DRC) and the adjoining countries and regions or originate from conflict affected and high-risk areas (CAHRAs) as defined in the EU regulation 2017 /821.

OSRAM is a member of the Responsible Mineral Initiative (RMI) as well as an affiliate member to the Responsible Business Alliance (RBA). OSRAM is committed to supporting this industry wide multi-stakeholder initiative to prevent conflicts, serious human rights violations as well as labor and environmental abuses in the defined areas. Therefore, we have put systems and processes in place to ensure accountability and we will continuously improve these as necessary to meet new requirements.

In 2020 we extended our monitoring to cobalt in our supply chains and will continue to track the conformant sourcing of this mineral as well. With our current processes we also comply with the new European legislation 2017 /821.

OSRAM has implemented processes to ensure compliance with the afore mentioned rules and legislations. The following steps are part of the OSRAM approach:

¹ The term "conflict mineral" means columbite-tantalite, also known as coltan (from which tantalum is derived); cassiterite (tin); gold; wolframite (tungsten); or their derivatives; or any other mineral or its derivatives determined by the Secretary of State to be financing conflict in the Democratic Republic of the Congo or an adjoining country or originating from a country listed in the [CAHRAs list](#), based on EU Regulation 2017/821.

- We joined the Responsible Mineral Initiative (RMI) as a member in January 2017
- We have implemented a "Conflict Minerals Code" for relevant suppliers to supplement our standard Code of Conduct. This code requires suppliers to ensure that the use and sale of Conflict Minerals by OSRAM's suppliers do not contribute to the ongoing conflict in the DRC and adjoining countries.
- We require all relevant suppliers of products potentially containing conflict minerals to identify the smelters/refiners in their supply chains by using the RMI Conflict Mineral Reporting Template (CMRT) in the latest version.

Status of conflict-minerals-free-efforts at OS RAM:

OSRAM aims to achieve full transparency on its complete supply chain and is committed to not procuring conflict-minerals or products containing conflict-minerals.

OSRAM sources materials from a global network of suppliers. Since 2012 we have been performing reasonable country of origin inquiries and conducted due diligence. Nevertheless, to date we have not been able to establish the conflict-free status to all our products within the portfolio. However, during the past few years the level of transparency and the number of smelters and refiners in our supply chain that have been verified to be conflict-free have increased substantially. Today we can state that our OSRAM Opto Semiconductors portfolio is conflict-minerals free. For the remaining products we have achieved high level of transparency on the involved smelters and substantially improved the granularity of the country of origin analysis of sourced materials.

We will continue to work with our suppliers to obtain the necessary assurances and documentation from them, with the goal of providing our customers with full product transparency.

With best regards
OSRAM Licht AG



Ulrike Salb

Senior Vice President Global Procurement



Jochen Berner

Head of Responsible Business & Sustainability