

BusinessApplicationHeader (head.001.001.02):

- a) The **sending MessagingEndpoint (From <Fr>)** has to be the first account holding Credit Institution (“last intermediary” from the issuers’ point of view) for the Rights Holder and has to be identified by its “FinancialInstitutionIdentification” (<FIId><FinInstnId><BICFI>).
- b) The **CreationDate <CreDt>** can only be after the Record Date specified in the Meeting Invitation (EntitlementFixingDate <EntitlMntFxdt><Dt><Dt>). As in Austria the DateMode <DtMd> always is “EODY” (End of Day – Vienna Time), the Creation Date has to be at least one day after the Record Date.

Message content (seev.003.001.10 and seev.004.001.10 or seev.004.001.11):

- a) As reference to the original Issuer Meeting Invitation the **IssuerMeetingIdentification <IssrMtgId>** has to be specified. This specification is relevant, as the sending MessagingEndpoint from the BusinessApplicationHeader thus confirms, that it is aware of the data from the original Meeting Invitation and that the data specified in the message, especially with regard to the EntitlementFixingDate, refers to the requirements specified in the Issuers Meeting Invitation.

If available the IssuerMeetingIdentification has been published in the official invitation and the <Document>-part of the original XML is available for download on the issuers’ homepage. If not available please refer to the official seev.001, forwarded by the issuer through the Intermediary Chain.

- b) **Fields required by law to accept the message as Holding Certificate:**

Only the **RightsHolder <RgtsHldr>** (first non-intermediary as per Austrian definition) will be accepted as legal representative of the shares with all the attached rights. As the seev.003 and seev.004 allow up to 250 shareholders per account, there will be a check, if this might be an omnibus account or if multiple persons hold the rights for the same shares.

The required fields, regarding the type of person of the shareholder are:

- **LegalPerson <LglPrsn>**: NameAndAddress <NmAndAdr> - Name <Nm>, Address <Adr> consisting of the following fields: The entire address consisting of either up to 5 AddressLine’s <AdrLine> or the separated address fields <StrtNm><BldgNb><PstCd><TwnNm><Ctry> or both. Preferred is the use the separated address fields; Identification <Id>: At least one of the options provided except for the type “ProprietaryIdentification” <PrtryId>. Preferred is the use of the “LEI” type.

- **NaturalPerson <NtrlPrsn>**: NameAndAddress <NmAndAdr> - Name consisting of <FrstNm> and <SrnM>, Address <Adr> in the same way as for a LegalPerson; DateAndPlaceOfBirth <DtAndPlcOfBirth> - BirthDate <BirthDt>. Alternatively also the Identification <Id> can be used for the BirthDate, if the IdentificationType <IdTp><Code> is CUST, which means the CONCAT Identification type, including the BirthDate.

- Other fields:

- **AccountIdentification <AcctId>**: If applicable, also with SubAccountIdentification <SubAcctId> in seev.004. Only the account number the RightsHolder holds at the first account holding credit institution (last intermediary) is accepted. If the Field AcctId is available for the Rights Holder (as in seev.004.001.11) this field has to be use instead of the AcctId/SubAcctId of the AcctDtls Section.

- **Financial InstrumentIdentification <FinInstrmId>**

- **Balance (seev.003: <HldgBal>, seev.004: <InstdBal>)**: Only balances of the BalanceType <BalTp> “INBA” will be accepted (in case of a seev.003 obligatory by specification, in case of a seev.004 more than one balance per account will be subject to verification. If only one balance position is available in the seev.004 it will be interpreted as instructed balance, if the <BalTp> is specified on several balance positions, the Type “INBA” will be accepted), <ShrtLngPos> only as “LONG”, <Qty> only as <Unit>, fraction of the unit will be rounded down to an integer number. Units below 1 or of 0 (zero) will not be accepted. The Unit specified in the balance will also be used in seev.004 for the vote instructions. In case of split voting for the same account owner, several Positions <Pos> in case of seev.003 or Instructions <Instr> in case of seev.004 must be sent.

- **EntitlementFixingDate (Record Date)**: In an seev.003 mandatory together with the correct <IssrMtgId> in order to reference to the correct seev.001. In an seev.004 mandatory, if Version 11 is used.

- **CreationDate (BusinessApplicationHeader):** As already mentioned above, this has to be at least one day after the record date and not later as closing date.
- c) **Proxy handling:** seev.003 and seev.004 can be used to name a proxy for a shareholder. For proper handling it is recommended to send the message also within the timeframe for the Holding Certificate, as only one message is required for both purposes (Holding Certificate and PoA). If the Proxy is sent in advance of the record date, an extra Holding Certificate has to be sent within the timeframe mentioned above. Proxies can be named usually until one day before the Meeting during business hours (Vienna time).
The required fields for the proxy nomination are the name fields as well as at least the TownName <TwnNm>, either included in the AddressLine or in the special field <TwnNm>.
Empowering the Chairman of the meeting or a board member will not be accepted. If several PoAs for the same shareholder are sent, the last one will gain effectiveness.
Regarding empowering the proxy named by the issuer please see additional information below under "Instruction handling".
- d) **Instruction handling:** Vote instructions can be handled via seev.004. In case the meeting has no electronic vote option, instructions can only be given altogether with the nomination of one of the official proxies named by the issuer. Vote instructions given without this nomination will be ignored.
Any split voting will not be accepted and the instructions for such agenda items will lead to an Abstention.
If the officially named proxy is chosen, the PoA will only be accepted with proper voting instructions.
In the case of additional resolutions not covered by the instructions (or <VoteInstrForMtgRslt>) the proxy will abstain from voting.
Regarding the cancellation of Instructions, **seev.005** is accepted.
VoteExecutionConfirmations are only relevant for the issuer in case of an electronic vote. If the instruction is for the proxy it is upon the proxy if applicable to make any confirmations.
- e) Regarding **cancellation of seev.003**, please use another seev.003 with the <NtfctnTp> "REPL", refer in the <PrvsEntitlMntNtfctnId> to the <BizMsgIdr> in the <AppHdr> of the previous seev.003 to be cancelled. A replacement message will lead to the cancellation of the entire previous seev.003 regardless of potential different allowed ISINS in the <Scty> section. In order to reregister a part of the shareholders from the previous seev.003 any positions available in the <Scty><Pos> section of the "REPL" message will be reregistered.
- f) **Status messaging:** As in 2026 we can only receive messages, status answers are possible via another channel. Either send your request via E-Mail to swift@hauptversammlung.at or to make a special agreement with us how to handle status messaging. It might be possible, that status messaging will already be developed during 2026 but we consider 2027 as the official starting year for this feature.
- g) **Additional information:** Filling the MeetingAttendee <MtnGAttndee> field will be ignored. Any guest requests have to be made either to the issuer or to the registration e-mail – address published in the invitation. Any other information, than those we mentioned, contained within the message will be ignored and not be processed (e.g. RightsHolder, other Balance types etc.).
- h) **Pagination and seev.004:** Paginations for seev.004 will be ignored, same as in the German market. In order to send instructions exceeding the size limit of a SWIFT message, please use several different seev.004.